



MAIL STOP PATENT  
Attorney Docket No. 25908

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

YOKOYA et al.

Examiner: Not yet known

Serial No.: 10/771,337

Group Art Unit: Not yet known

Filed: February 5, 2004

For: **GENE PANEL PARTICIPATIVE IN HEPATIC STELLATE CELL ACTIVATION**

TRANSMITTAL LETTER

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Submitted herewith for filing in the U.S. Patent and Trademark Office are the following:

- (1) Transmittal Letter;
- (2) Information Disclosure Statement;
- (3) Form PTO-1449 citing six (6) references; and
- (4) Copies of six (6) references.

Respectfully submitted,

**NATH & ASSOCIATES PLLC**

By: Todd Juneau  
Todd L. Juneau  
Registration No. 40,669  
Customer No. 20529

Date: March 9, 2004

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Washington, D.C. 20005  
TLJ:ayd



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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For: **GENE PANEL PARTICIPATIVE IN HEPATIC STELLATE CELL ACTIVATION**INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

An Information Disclosure Statement is submitted herewith pursuant to 37 C.F.R. '1.97-1.98. Please note the following particulars:

[NOTE: One only of items a, b, c, and d must be checked.]

- [ ☒ ] a. The enclosed statement is being filed within three months of the filing date of a national application, or within three months of the date of entry into the national stage as set forth in 37 C.F.R. '1.491 in an international application, or before the mailing date of a first Office Action on the merits, whichever event occurs last.
- [ ☐ ] b. The enclosed statement is being filed after a first action on the merits but before the mailing date of a final action under 37 C.F.R. '1.113, or a notice of allowance under 37 C.F.R. '1.311.

The enclosed statement is accompanied by [check one]:

- [ ☐ ] i. a certification in part (e) below as specified in 37 C.F.R. '1.97(e), or
- [ ☐ ] ii. a check in the amount required by 37 C.F.R. '1.17(p).
- [ ☐ ] c. The enclosed statement is being filed after the mailing date of a final action under 37 C.F.R. '1.113, or a notice of allowance under 37 C.F.R. '1.311, but before payment of the issue fee.
- [ ☐ ] Certification report(e) below; and
- [ ☐ ] a check in the amount as required by '1.17(p).
- [ ☐ ] d. The enclosed statement is being filed pursuant to 37 C.F.R. '1.97(i), for placement in the file.
- [ ☐ ] e. Certification [Check one] [Certification is required only if box (b)(i) or box (c) is checked.]

[ ] I hereby certify that each item of information contained in the enclosed Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement,

or

[ ] I hereby certify that no item of information in the enclosed Information Disclosure Statement herewith was cited in a communication from a foreign patent office in a counterpart foreign application, or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. '1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

or

[ ] Appropriate certification is attached.

[ ☒ ] f. If no check is enclosed and a fee is due in connection with this communication or if the check enclosed is insufficient, the Commissioner is authorized to charge any fee or additional fee due in connection with this communication to Deposit Account No. 14-0112.

[ ☒ ] g. Copies of the documents are attached herewith with a completed Form PTO-1449.

or


[ ] Copies of the documents are not attached as allowed under CFR 1.98(d)(1)(2). The earlier application is identified as:  
or Copies of US Patents/Publications not attached as allowed in Official Gazette Aug. 5, 2003/ Vol. 1273, no. 1.

The Examiner is respectfully requested to cite the documents listed on the attached Form PTO-1449 in the next Office Action. In so doing, the Examiner is respectfully requested to initial in the space adjacent to the listing of each of the documents listed on the Form PTO-1449, and return a copy of the initialed Form PTO-1449 with the next communication to Applicant, to confirm that the documents have been considered by the Examiner and made of record in this application.

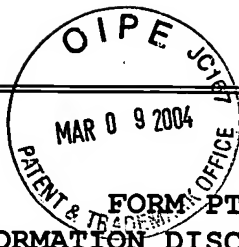
If the Examiner has any questions or wishes to discuss this application, kindly telephone the undersigned at the below-listed number.

Respectfully submitted,

**NATH & ASSOCIATES PLLC**

By:   
Todd L. Juneau  
Registration No. 40,669  
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**FORM PTO-1449**  
**INFORMATION DISCLOSURE CITATION**Atty Docket  
25908Serial No.  
10/771,337Applicant  
YOKOYA et al.Filing Date  
February 5, 2004Group Art Unit  
Not yet known**U.S. PATENT DOCUMENTS**

Examiner Initial		Document Number	Issue Date	Name	Class	Sub-Class	Filing Date
	A						
	B						
	C						
	D						
	E						
	F						
	G						
	H						
	I						
	J						
	K						
	L						

**FOREIGN PATENT DOCUMENTS**

		Document Number	Date	Country	Class	Sub-Class	Translation
	M	JP 2001-149095	06/05/01	Japan			Abstract
	N	JP 2001-188069	07/10/01	Japan			Abstract

**OTHER** (Including Author, Title, Date, Pertinent Pages, etc.)

	Q		Kristensen, D.B. et al., "Proteome analysis of rat heptaic stellate cells", Hepatology 2000, Vol. 32, No. 2, pages 268-277.
	P		Yamagata, Akira, "Proteom Kaiseiki", Saibo, Jan. 20, 2002, Vol. 34, No. 1, pages 26-29. With English translation of abstract.
	Q		Yoshisato, Katsutoshi, "Kanzo Saisei no Proteom Kaiseiki Hoshi Saibo no Proteom", Gene & Medicine 2000, Vol. 4, No. 4, pages 583-588. With English translation of abstract.
	R		Yoshisato, Katsutoshi, "Kanzo Saisei no Proteom", Experimental Medicine, Feb. 1, 2001, Vol. 19, No. 3, pages 357-363. With English translation of abstract.

Examiner

Date Considered

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP § 609.  
Draw line through citation if not in conformance and not considered.  
Include copy of this form with next communication to Applicants.